

32692

Customer Number



Patent  
Case No.: 56964US002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: SIMMONS, RICHARD L.

Application No.: 09/927663

Group Art Unit: 2839

Filed: August 10, 2001

Examiner: Prasad, Chandrika

Title: THREE DIMENSIONAL OPTICAL CIRCUITS

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date 12-11-03

Signed by: Tom Sanders  
Signed by: Tom Sanders

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

This Supplemental IDS accompanies the Amendment and Response, listing Hutton et al., United States Patent No. 6,381,390 B1. This reference was cited by name only in the Office Actions mailed October 7, 2002, and September 11, 2003, but has not been identified by a reference number in the Examiner's "Notice of References Cited" accompanying those Office Actions. Applicants have not previously identified Hutton et al. in any previously filed IDS or Supplemental IDS.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Dec 11, 2003  
Date

Respectfully submitted,

By: Matthew B. McNutt  
Matthew B. McNutt, Reg. No.: 39,766  
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Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 1

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09/927663

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August 10, 2001

First Named Inventor

Simmons, Richard L.

Art Unit

2839

Examiner Name

Prsad, Chandrika

Attorney Case Number

56964US002

## U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 6,381,390 B1	04-30-2002	Hutton et al	
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			

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## Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1						
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	
	C2	
	C3	

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.